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Australian Government

Department of Defence

Defence Science and

Technology Organisation

# Logic, Assumptions and Risks Framework



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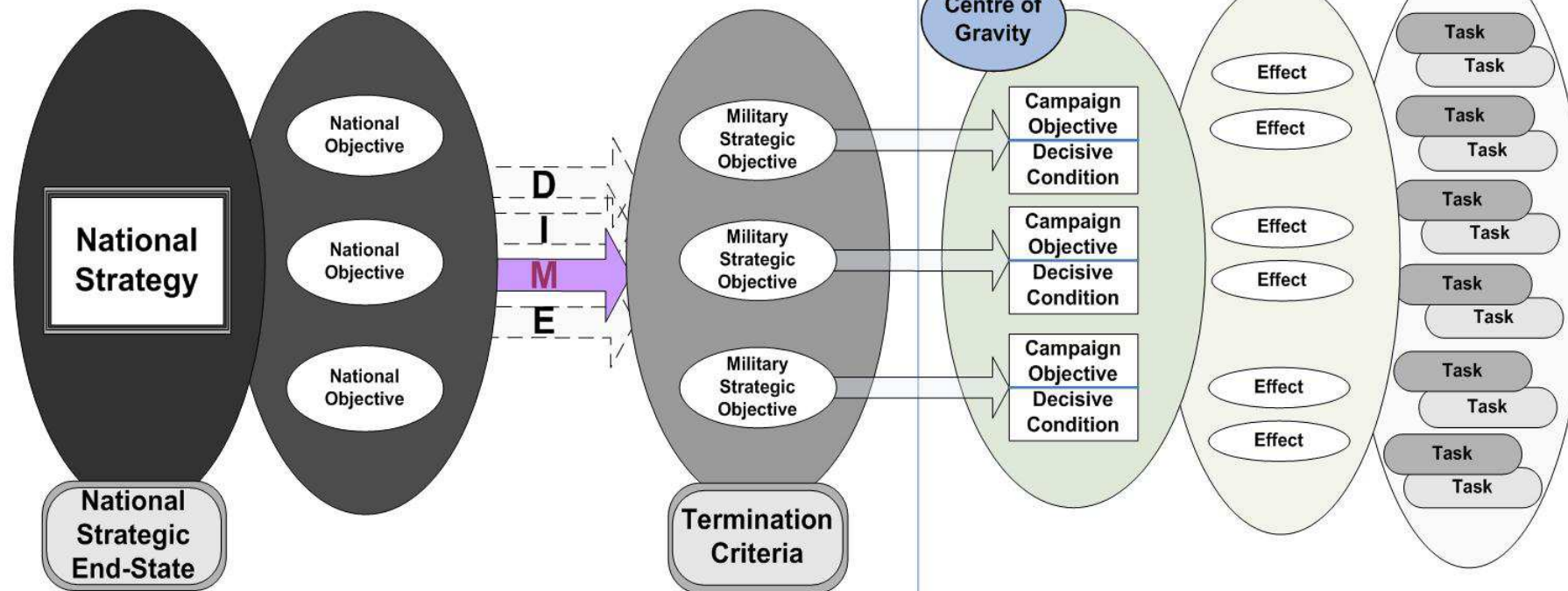
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STRATEGIC ASSESSMENT

CAMPAIGN EFFECTIVENESS ASSESSMENT

MOE

MOP



STRATEGIC FRAMEWORK

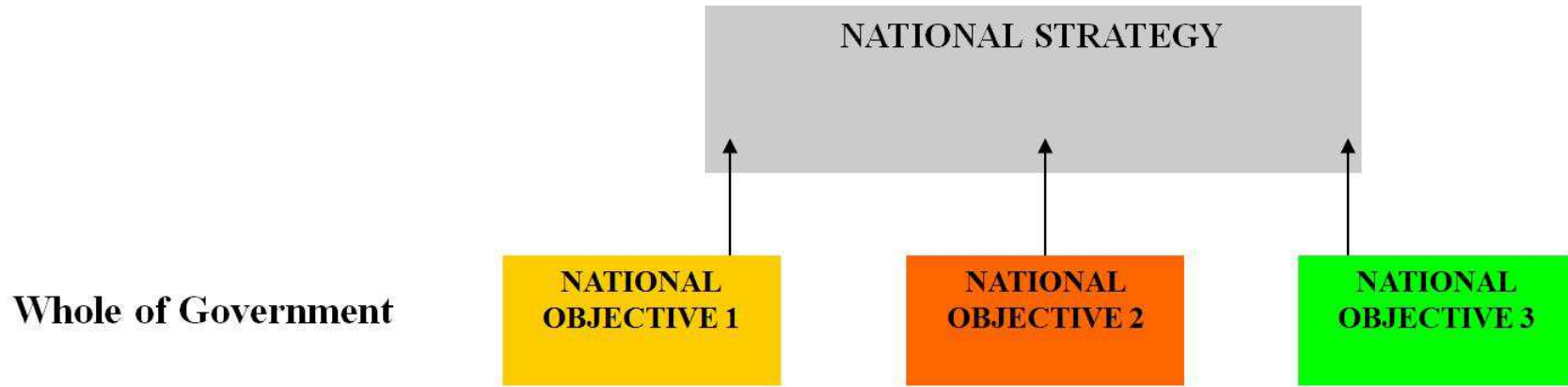
OPERATIONAL FRAMEWORK



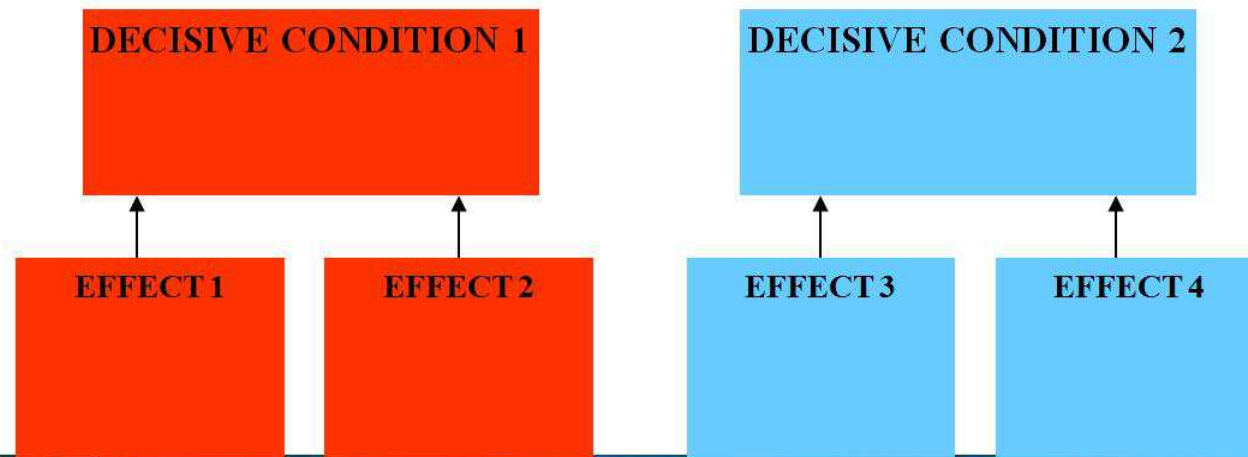
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# UNCLASSIFIED Campaign Plans

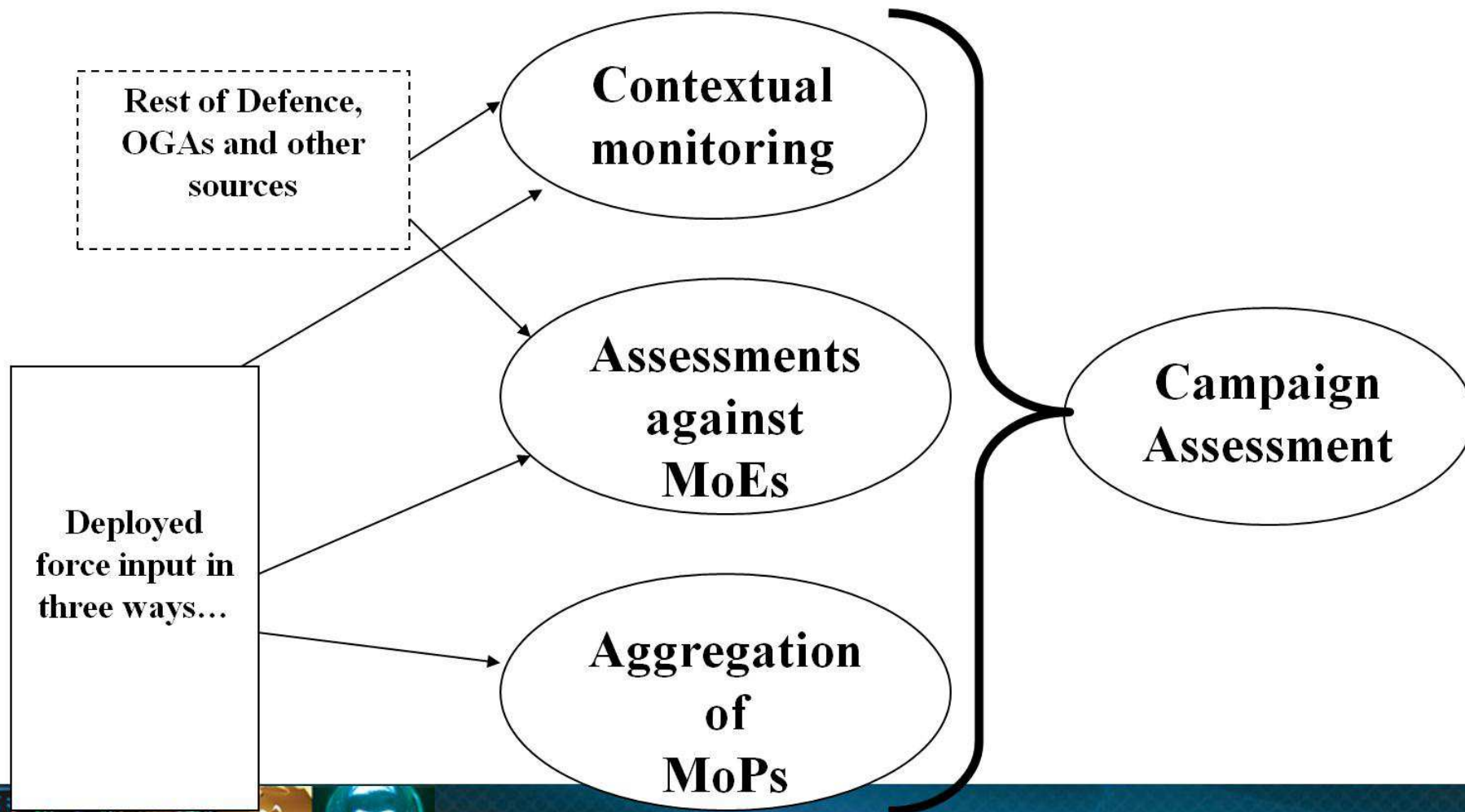


**ADF**



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# Information inputs to evaluation



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# Logic

*“it is only when ideas have to be put into words that flaws in logic become apparent and when misunderstandings between planning team members or the planning staff, commanders and the rest of the staff are revealed.”*

(Source: David Couzens (2010) Theories of Change: Essential elements for any plan, UK DoD.)

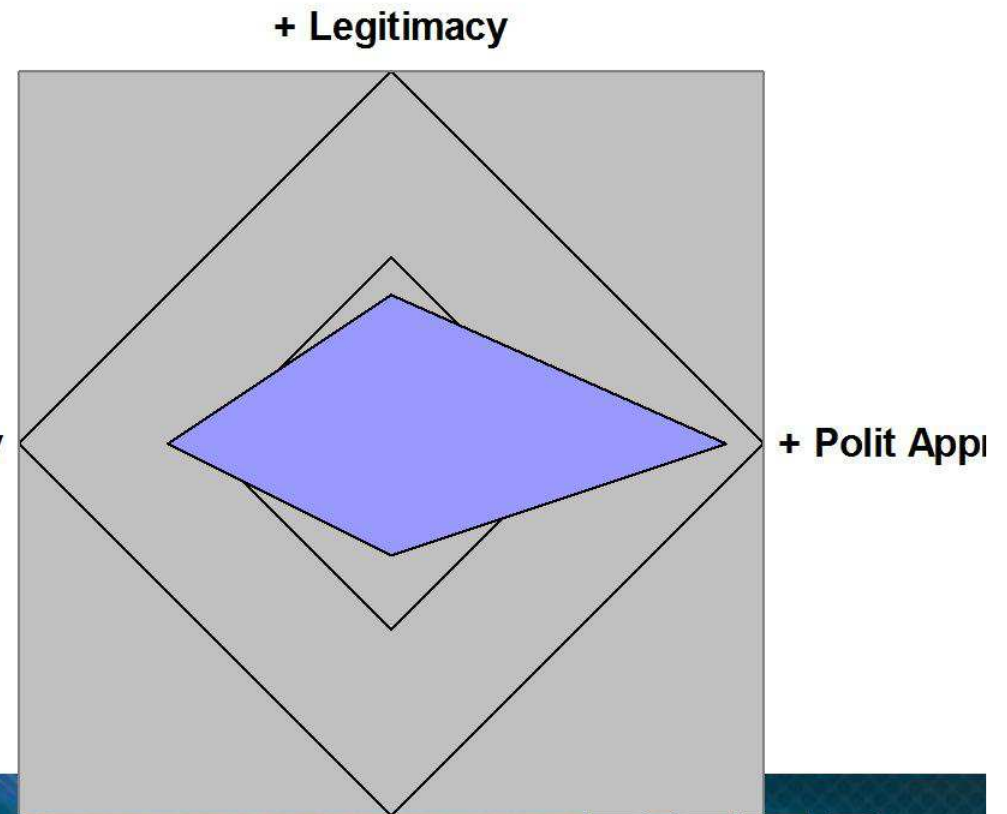


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# Assumptions

Examples of planning assumptions:

- The thresholds for success/failure – what degrees of each are acceptable;
- How our intervention will be perceived by locals and the surrounding region;
- The primary issue to be resolved;
- Interdependencies and flow-on effects;
- Our own capabilities and influences.



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+ Sustainability

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# Risks

Examples:

- Temporal
- Wild card events
- Resources
- Capability
- Secondary effects
- Unexpected reactions



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# Identifying & evaluating them together

From	Logic	To	Assumptions
<p><b>E.g.</b> Effect 1: Destabilising elements have been reduced. <i>The influence of destabilising elements has been reduced to such an extent that they are unable to prevent legitimate political, economic and social development within Country X.</i></p>	<p><b>E.g.</b> <i>If the impact of destabilising elements is reduced, then economic and social development can take place which will enable the population to view the benefits of legitimate governance and encourage them to turn away from destabilising elements.</i></p>	<p><b>E.g.</b> Decisive Condition: The security situation in Country X enables political, economic and social development to proceed without threats from destabilising elements.</p>	<p><b>E.g.</b></p> <ul style="list-style-type: none"> <li>- Destabilising elements are linked to current conflict drivers.</li> <li>- Local national security authorities have the capability and intent to contain destabilising elements.</li> <li>- Economic and social development is an impetus for the population to support legitimate government</li> </ul>





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# Applications and Outcomes

- An initial planning tool
- A group learning exercise
- A validation of existing Plan and set of evaluation indicators.



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# Generation of indicators for program evaluation

Measures of Effectiveness, Assumptions and Risks Response Template

<b>Effect 1</b> <i>E.g. Destabilising elements in society have been reduced.</i> <i>The influence of destabilizing elements has been reduced to such an extent that they are unable to prevent legitimate political, economic and social development within Country X.</i>					
<b>Assumptions</b> [N.B. Assumptions will be workshopped by the Campaign Assessment Team and provided for JTF response.]  <i>E.g.</i> <i>- Economic and social development is an impetus for the population to support legitimate government and turn away from destabilizing elements.</i>	Do the assumptions hold true? [Narrative response]				
	Comment on assumptions [Narrative response. Especially offer any thoughts on observed changes regarding assumptions.]				
<b>Risks</b> [N.B. Risks will be workshopped by the Campaign Assessment Team and provided for JTF response.]  <i>E.g. Uneven distribution of economic development could generate further destabilizing elements.</i>	Has the likelihood of the risk increased or decreased? [Narrative response with supporting examples.]				
	Has the impact of the risk increased or decreased? [Narrative response with supporting justifications.]				
Measure of Effectiveness	Criteria	Rating	Supporting data / examples	Source of data	Reliability of data
1.1	<i>E.g. ....</i>		UNCLASSIFIED		

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# What next?

Other applications?

Re-application in different contexts?



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